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PCT	То:
NOTIFICATION OF ELECTION (PCT Rule 61.2)	Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT Washington, D.C.20231 ETATS-UNIS D'AMERIQUE
Date of mailing (day/month/year) 21 August 2000 (21.08.00)	in its capacity as elected Office
International application No.	Applicant's or agent's file reference
PCT/GB99/04395	PA9847
International filing date (day/month/year)	Priority date (day/month/year)
23 December 1999 (23.12.99)	30 December 1998 (30.12.98)
Applicant	
KNOX, Peter et al	
The designated Office is hereby notified of its election made  in the demand filed with the International Preliminary  19 July 2000 (1)  in a notice effecting later election filed with the International Preliminary  2. The election X was	Examining Authority on: 9.07.00)
was not  made before the expiration of 19 months from the priority da Rule 32.2(b).	REST AVAILABLE COPY

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**Authorized officer** 

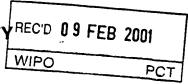
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Facsimile No.: (41-22) 740.14.35







### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applican	t's or aq	ent's file reference	1	Can Nati	inction of Transmittal of International
PA984	·		FOR FURTHER ACT		ication of Transmittal of International ry Examination Report (Form PCT/IPEA/416)
Internation	nal app	lication No.	International filing date (day	/month/year)	Priority date (day/month/year)
PCT/G	B99/04	1395	23/12/1999		30/12/1998
G01N3	3/58	ent Classification (IPC) or na	tional classification and IPC		
NYCOI	VIED A	MERSHAM PLC et al.			
		ational preliminary exami smitted to the applicant a		epared by this In	ternational Preliminary Examining Authority
2. This	REPO	ORT consists of a total of	4 sheets, including this co	over sheet.	
	been a (see F	amended and are the bas tule 70.16 and Section 60	sis for this report and/or sh 07 of the Administrative Ins	eets containing r	on, claims and/or drawings which have rectifications made before this Authority the PCT).
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3. This	s report	contains indications rela	ting to the following items:		
	ı · 🛛	Basis of the report			
	ı 🗆	Priority			
11	ı 🗆	Non-establishment of o	pinion with regard to novel	lty, inventive step	and industrial applicability
١١	/ 🗆	Lack of unity of invention	on		
÷ \	/ 🗵		nder Article 35(2) with rega ons suporting such stateme		ventive step or industrial applicability;
v	ı 🗆	Certain documents cite	ed		
∨ı		Certain defects in the in	nternational application		
VII		Certain observations or	n the international applicati	ion	
Date of s	ubmissi	on of the demand	D	ate of completion of	of this report
19/07/2	000		0	6.02.2001	
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# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB99/04395

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1.	res <sub>i</sub> the	ponse to an invitatio	rawn on the basis of (substitute sheets which have been furnished to the receiving Office in on under Article 14 are referred to in this report as "originally filed" and are not annexed to o not contain amendments (Rules 70.16 and 70.17).):
	1-5		as originally filed
	Cla	ims, No.:	
	1-9		as originally filed
2.			uage, all the elements marked above were available or furnished to this Authority in the nternational application was filed, unless otherwise indicated under this item.
	`	, ,	evailable or furnished to this Authority in the following language: , which is:
		the language of pu	translation furnished for the purposes of the international search (under Rule 23.1(b)).  Iblication of the international application (under Rule 48.3(b)).  Iranslation furnished for the purposes of international preliminary examination (under Rule
3.		h regard to any <b>nuc</b>	leotide and/or amino acid sequence disclosed in the international application, the y examination was carried out on the basis of the sequence listing:
		filed together with	ternational application in written form. the international application in computer readable form. ently to this Authority in written form.
		furnished subsequ The statement that	ently to this Authority in computer readable form.  the subsequently furnished written sequence listing does not go beyond the disclosure in oplication as filed has been furnished.
		·	t the information recorded in computer readable form is identical to the written sequence
4.	The	amendments have	resulted in the cancellation of:
		the description,	pages:
		the claims,	Nos.:
		the drawings,	sheets:
5.			en established as if (some of) the amendments had not been made, since they have been eyond the disclosure as filed (Rule 70.2(c)):

### INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/GB99/04395

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

- 6. Additional observations, if necessary:
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes: No:

Claims 1, 5-7

Claims 2-4, 8, 9

Inventive step (IS)

Yes:

Yes:

Claims

Claims 1-9 No:

Industrial applicability (IA)

Claims 1-9

No: Claims

- 2. Citations and explanations see separate sheet
- VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

## INTERNATIONAL PRELIMINARY

International application No. PCT/GB99/04395

**EXAMINATION REPORT - SEPARATE SHEET** 

Reference is made to the following document cited in the International search report:

D1: WO-A-97/37239

#### Section V:

D1 discloses the use of hyperpolarised Xe in NMR. The samples to be analysed 1. can comprise for example proteins (see p.14, line 16 to p.15, line 4 and example 9). Thus, the subject-matter of claims 1 and 5 is not novel (Article 33(2) PCT). D1 further discloses the use of Xe enriched at 80 % (see p.5, lines 28 to 33) and polarisation levels of 5 to 10% (see p.25, lines 18 to 19). Thus, the subject-matter of claims 6 and 7 is not novel (Article 33(2) PCT).

D1 also indicates that pressures of several atmospheres should be used (see p.25, lines 20 to 28). Thus, although the subject-matter of claim 9 is novel with respect to D1, because D1 does not disclose the specific pressure values, it does not seem to involve an inventive step (Article 33(3) PCT).

The application of the method for the analysis of the analyte in a sample, which is the object of claims 2 to 4, appears to be obvious in view of D1.

The choice of particular solvent as defined in claim 8 is neither disclosed nor suggested in any of the available documents. However, the presence of an inventive step (Article 33(3) PCT) cannot be acknowledged because the feature in claim 8 appears to be arbitrary and not to provide a solution to any technical problem.

#### Section VII:

Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art 1. disclosed in document D1 is not mentioned in the description, nor is this document identified therein.

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### **INTERNATIONAL SEARCH REPORT**

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference PA9847	FOR FURTHER ACTION	see Notification of Form PCT/ISA/22	Transmittal of Intern 20) as well as, where	ational Search Report applicable, Item 5 below.
International application No.	International filing date (day	/month/year)	(Earliest) Priority D	eate (day/month/year)
PCT/GB 99/04395	23/12/199	9	30/	12/1998
Applicant	· · · · · · · · · · · · · · · · · · ·			
NYCOMED AMERSHAM PLC et a	al.			
This international Search Report has bee according to Article 18. A copy is being to	en prepared by this international internatio	al Searching Auth Bureau.	ority and is transmitte	ed to the applicant
This international Search Report consists  It is also accompanied by	s of a total of2 y a copy of each prior art docum	sheets. nent cited in this i	report.	
Basis of the report				
<ul> <li>With regard to the language, the language in which it was filed, ur</li> </ul>	o international search was carri nless otherwise indicated under	ed out on the basi this item.	s of the international	application in the
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	formation recorded in computer	readable form Is	Identical to the writte	n sequence listing has been
2. Certain claims were for	und unsearchable (See Box I)	•		
3. Unity of Invention is lac	cking (see Box II).			•
4. With regard to the title,				
X the text is approved as s	ubmitted by the applicant.			
the text has been established.	shed by this Authority to read a	s follows:		
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6. The figure of the drawings to be pub	olished with the abstract is Figu	re No.		
as suggested by the app				None of the figures.
because the applicant fal				
because this figure better	r characterizes the invention.			

Form PCT/ISA/210 (first sheet) (July 1998)

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G01N33/58 C120 G01R33/465 C1201/68According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 7 G01N C12Q G01R Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category ° Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. WO 97 37239 A (LAWRENCE BERKELEY NATIONAL X 1,5 LABORATORY) 9 October 1997 (1997-10-09) page 14, line 16 -page 15, line 4; claims 1,3,5,6,19-21; examples 2,8 EP 0 620 447 A (PRAXAIR TECHNOLOGY, INC.) A 1.5 19 October 1994 (1994-10-19) example 1 WO 98 30918 A (NYCOMED IMAGING AS) A 16 July 1998 (1998-07-16) A WO 95 27438 A (THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK ET AL.) 19 October 1995 (1995-10-19) Further documents are listed in the continuation of box C. Patent family members are listed in annex. Special categories of cited documents: T later document published after the International filing date or priority date and not in conflict with the application but "A" document defining the general state of the art which is not considered to be of particular relevance cited to understand the principle or theory underlying the "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention citation or other special reason (as specified) cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled in the art. other means "P" document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 20/04/2000 7 April 2000 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fex: (+31-70) 340-3016 Griffith, G



PCT/GB 99/04395

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	tent document In search report		Publication date		Patent family member(s)		Publication date
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### **PCT**

### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: WO 00/40972 (11) International Publication Number: G01N 33/58, C12Q 1/68, G01R 33/465 **A1** (43) International Publication Date: 13 July 2000 (13.07.00) (21) International Application Number: PCT/GB99/04395 (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, (22) International Filing Date: 23 December 1999 (23.12.99) KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, (30) Priority Data: ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, 30 December 1998 (30.12.98) 9828853.3 GB SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), (71) Applicant (for all designated States except US): NYCOMED AMERSHAM PLC [GB/GB]; Amersham Laboratories, OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, White Lion Road, Amersham, Bucks HP7 9LL (GB). MR, NE, SN, TD, TG). (72) Inventors; and (75) Inventors/Applicants (for US only): KNOX, Peter [GB/GB]; Published "Choppings", 34 Kings Road, Chalfont St. Giles, Bucks With international search report. HP8 4HS (GB). COOK, Neil [GB/GB]; Tutshill Lodge, Beachley Road, Tutshill, Chepstow NP6 7EG (GB). (74) Agent: ROLLINS, Anthony, John; Nycomed Amersham plc, Amersham Laboratories, White Lion Road, Amersham, Bucks HP7 9LL (GB).

(54) Title: NMR SPECTROSCOPY METHOD

#### (57) Abstract

The invention relates to an *in vitro* method which comprises labelling a biological molecule with hyperpolarised xenon, and observing a magnetic resonance spectrum and/or image of the hyperpolarised xenon in the environment of the biological molecule. The spectrum/image provides information about the environment(s) at which atoms of xenon are bound to the biological molecule.

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## NMR SPECTROSCOPY METHOD

This invention is concerned with nuclear magnetic resonance (NMR) spectroscopy and/or 5 NMR imaging. The technique involves observing the spectrum/image of a NMR active nuclear species in vitro in order to obtain information about the environment in which the species is present. The spectra of NMR active nuclei vary depending on their environment, and this is reported in the literature (PNAS, 93,12932-6, 1996).

Noble gases having non-zero nuclear spin can be hyperpolarised, i.e. have their polarisation enhanced over the equilibrium polarisation, e.g. by the use of circularly polarised light. Preferred techniques for hyperpolarisation include spin exchange with an optically pumped alkali metal vapour and metastability exchange. Noble gases to which this technique can be applied include <sup>3</sup>He and <sup>129</sup>Xe. As described by M S Albert et al in US Patent 5,545,396, the 15 technique can be used to prepare hyperpolarised noble gases that can be administered by inhalation for magnetic resonance imaging of the human body.

Xenon is chemically inert and has hydrophobic properties, and is capable of being weakly bound by hydrophobic regions of biological molecules (PNAS, 78, No 8, 4946-9, August 20 1981; Abstracts of the 11<sup>th</sup> Annual Meeting of the Society for Magnetic Resonance in Medicine (1992) page 2104). Thus it is possible to "label" biological molecules with xenon.

This invention concerns the method of labelling biological molecules with hyperpolarised 129 Xe. All macromolecules have a number of discrete hydrophobic and hydrophilic sites. Xenon 25 will bind by hydrophobic interactions to hydrophobic sites with different affinity. The xenon labels the biological compound by becoming weakly bound to it, e.g. at specific hydrophobic sites on a surface of or within a cavity of a protein or other macromolecule.

The NMR sensitivity of hyperpolarised xenon is highly increased compared to non-30 hyperpolarised xenon. Another advantage of the present invention is the reversible and nondestructive nature of the bond between the xenon and the biological molecule. A further advantage is that the forming of the "bond" and subsequent measurement may be repeated if · WO 00/40972 PCT/GB99/04395

needed. In addition, since xenon is a gas (condensation temperature of -106°C), it and may easily and rapidly be separated from the biological molecule if necessary. Moreover, xenon is essential chemically inert and will not adversely effect the biological molecule.

One embodiment of the invention thus provides an in vitro method which comprises labelling a biological molecule with hyperpolarised xenon, and observing a magnetic resonance spectrum and/or image of the hyperpolarised xenon in the environment of the biological molecule. The spectrum/image provides information about the environment(s) at which atoms of xenon are bound to the biological molecule. Any conformational change of the biological 10 molecule resulting e.g. from the binding (or the disappearance) of a ligand (e.g. a lipid. carbohydrate, peptide, polypeptide, nucleic acid or any sort of drug) or cleavage by an enzyme. will cause an alteration in the xenon NMR spectrum. Each hydrophobic site in the biological molecule may give rise to a specific and characteristic NMR shift.

A further embodiment of the present invention is to take NMR "fingerprint(s)" of a known biological molecule. These fingerprints can subsequently be used to identify unknowns by direct comparison in a manner similar to infra-red spectroscopy.

A biological molecule as defined by the present invention is a monomeric or polymeric 20 molecule that is present in biological systems or that is artificially introduced and is biologically active in such systems. Biological molecules include lipids, sugars and polysaccharides, nucleic acids (DNA, RNA), nucleosides, oligonucleosides, polynucleosides, nucleotides, oligonucleotides, polynucleotides, enzymes, vitamins and particularly peptides, polypeptides and proteins. ---

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In one preferred embodiment of the invention, the labelled biological molecule is an assay reagent taking part in an assay method and wherein the assay reagent is labelled with hyperpolarised xenon. The labelling of the biological molecule with hyperpolarised xenon may be performed before, during or after performance of the assay.

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An assay method according to the present invention is a test involving a reaction of one or more biological molecules. The assays include for example competition assays (e.g. receptor· WO 00/40972 PCT/GB99/04395

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ligand antagonism, enzyme-substrate inhibitors, protein-protein interaction inhibitors), binding assays (e.g. receptor-ligand agonism, enzyme-substrate reactions, protein-protein interactions), immunoassays (e.g. for specific analytes), hybridisation assays (e.g. nuclease assays, mutation analysis, mRNA and DNA detection), test involving cells, organs and/or whole organisms. These tests may involve e.g. one or more lipids, saccharides, polynucleotides, oligonucleotides, nucleotides, peptides or proteins. Assays include binding studies performed on eukaryotic and prokaryotic microorganisms; binding studies performed on tissue *in vitro*; and binding studies in which an assay reagent is administered *in vivo* and an excretion product (e.g. urine, faeces, or breath) analysed by NMR *in vitro*.

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By observing a change with time using NMR, the progress of a reaction can be followed during the course of an assay. Assays performed *in vitro* may conveniently be in multiwell plates, with either an assay reagent in the wells of the plate being labelled with hyperpolarised xenon, or a reagent being so labelled in bulk prior to being dispensed into individual wells of the plate.

Generally the biological molecule is present in a liquid medium into which the xenon is introduced as a gas. This may be achieved e.g. by bubbling it through the fluid or by contact with the biological molecule as a solid. Alternatively the xenon is introduced as a solution in a solvent, which is compatible with the biological molecule (e.g. in a lipophilic solvent such as a lipid or a fluorocarbon solvent).

The liquid medium used according to one embodiment of the present invention may be deuterated water, deuterated buffers or solvents, e.g. lipophilic solvents which may contain lipid bicelles, lipid vesicles, liposomes, cryptophanes and/or cyclodextrins.

the naturally occurring material or one artificially enriched in <sup>129</sup>Xe. A preferred degree of enrichment <sup>129</sup>Xe is 40 % or more. A more preferred degree is 50 % or more and an even more preferred degree of enrichment is 90 % or more. A particularly preferred degree of enrichment is 90 % or more. Bulk supplies of xenon enriched in <sup>129</sup>Xe and hyperpolarised to a high degree are now available commercially and have a half life long enough to permit transport over substantial

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distances. While the half life of hyperpolarised <sup>129</sup>Xe in the biological environments contemplated in this invention will be lower, it is expected to be amply sufficient to permit the desired spectra to be obtained. A preferred degree of hyperpolarisation is 8 % or more. A more preferred hyperpolarisation degree is 20 % or more and an even more preferred degree is 30 % or more. Ideally, the degree will approach 100 %.

In one embodiment of the invention, the temperature at the time xenon is added is above the temperature at which the biological molecule is frozen, but below the temperature at which the biological molecule may be denatured. Alternatively, xenon may be added to the frozen biological molecule, followed by thawing. However, the right temperature to achieve the optimal function of the biological molecule should also be considered.

In one embodiment of the invention, the solution is kept as low as possible in order to slow down the exchange between the bound xenon and free xenon, without broadening the NMR signals too much.

In a further embodiment of the invention, the solution is made viscous due to the use of one viscous solvent or the use of a suitable combination of solvents. The viscosity of the solvent is preferably within the range of 500 mPs to 5000 mPs, more preferably within the range of 700 mPs to 1500 mPs.

In one embodiment of the invention, the pressure of xenon is as high as possible, preferably higher than 5 x 10<sup>5</sup> N/m<sup>2</sup> (5 bar), more preferably higher than 5 x 10<sup>6</sup> N/m<sup>2</sup> (50 bar), even more preferably higher than 1 x 10<sup>7</sup> N/m<sup>2</sup> (100 bar) and particularly higher than 2x10<sup>7</sup> N/m<sup>2</sup> (200 bar). However, the pressure must never be so high that the biological molecule will be adversely effected.

The invention is illustrated with reference to the following non-limiting Example.

Hyperpolarised <sup>129</sup>Xe is generated by optical pumping as described by B.Driehuys et al.,
Appl.Phys.Lett. 69 (12), 1996. The Isotopic composition of the gas is 80% <sup>129</sup>Xe and 0.25%

<sup>131</sup>Xe (the rest non-magnetic isotopes of Xe). The degree of polarisation is estimated to be 10%.

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Lyzozyme (28 mg) is dissolved in a mixture of D<sub>2</sub>O and methanol-d<sub>4</sub> (40:60) (3 ml) in a heavy-walled 10 mm NMR-tube. This mixture is subjected to four freeze-pump-thaw cycles of degassing. The tube is then connected to the outlet of the polariser and frozen in liquid nitrogen. The hyperpolarized gas is generated and collected on a cold finger at liquid nitrogen temperature in a holding field of 200 mT over a period of 15 minutes which is estimated to give a volume of 50 ml of Xe at NTP. A narrow Dewar vessel with liquid nitrogen is placed in a magnet with a field strength of 0.3 T. The collected xenon is thawed and then refrozen in the NMR-tube in the 0.3 T magnet. The sample tube is flame-sealed and the frozen sample is moved to the fringe field of the magnet of an NMR-spectrometer. The NMR-spectrometer sample space is kept at a 10 temperature of 293 K. The sample is removed from the transport magnet and thawed by heating with the hand (protected from the cold) while standing as close to the NMR-magnet as possible. When the sample starts to thaw it is shaken vigorously and inserted into the spectrometer. A 129 Xe spectrum is recorded and apart from the large peak due to the bulk xenon, a small peak, with a line width of 160 Hz, due to bound xenon can be observed at -158 ppm relative to bulk xenon.

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## CLAIMS

1. An *in vitro* method which comprises labelling a biological molecule with hyperpolarised <sup>129</sup>Xe, and observing a magnetic resonance (NMR) spectrum and/or NMR image of the hyperpolarised <sup>129</sup>Xe in the environment of the biological molecule.

- 2. The method of claim 1 wherein the biological molecule is an assay reagent taking part in an assay method.
- 10 3. The method of claim 2 wherein the assay is a competition assay or an immunoassay.
  - 4. The method of claim 2 wherein the assay is a hybridisation assay or a binding assay.
- 5. The method of any of claims 1 to 4 wherein the biological molecule is a peptide or a protein.
  - 6. The method of any of claims 1 to 5 wherein the hyperpolarised <sup>129</sup>Xe is enriched at a level of 40 % or more.
- 7. The method of any of claims 1 to 6 wherein the degree of hyperpolarisation is 8 % or more.

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- 8. The method of any of claims 1 to 7 which is performed in a solution wherein the solvent has a viscosity in the range of 700 to 1500 mPs.
- 9. The method of any of claims 1 to 8 wherein the pressure of the xenon gas is at least 5 bar.

### INTERNATIONAL SEARCH REPORT

Ints ...ional Application No PCT/GB 99/04395

<u> </u>			101/40 33/	04333
A CLASSIF IPC 7	FICATION OF SUBJECT MATTER G01N33/58 C12Q1/68 G01R33/4	165		
According to	International Patent Classification (IPC) or to both national classifica	ation and IPC		
B. FIELDS				
Minimum do	cumentation searched (classification system followed by classification GOIN C12Q GOIR	on symbols)		
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C. DOCUME	ENTS CONSIDERED TO BE RELEVANT		<del>- · · · </del>	
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